

Discordant noninvasive prenatal testing and cytogenetic results: a study of 109 consecutive cases

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Purpose: Recent published studies have demonstrated the incremental value of the use of cell-free DNA for noninvasive prenatal testing with 100% sensitivity for trisomies 21 and 18 and a specificity of $\geq 99.7\%$ for both. Data presented by two independent groups suggesting positive results by noninvasive prenatal testing were not confirmed by cytogenetic studies.

Methods: Concordance of results among cases with noninvasive prenatal testing referred for cytogenetic prenatal and/or postnatal studies by karyotyping, fluorescence in situ hybridization, and/or oligo–single-nucleotide polymorphism microarray was evaluated for 109 consecutive specimens.

Results: Cytogenetic results were positive for trisomy 21 in 38 of the 41 noninvasive prenatal testing–positive cases (true-positive rate: 93%) and for trisomy 18 in 16 of the 25 noninvasive prenatal

testing–positive cases (true-positive rate: 64%). The true-positive rate was only 44% (7/16 cases) for trisomy 13 and 38% (6/16 cases) for sex chromosome aneuploidy.

Conclusion: These findings raise concerns about the limitations of noninvasive prenatal testing and the need for analysis of a larger number of false-positive cases to provide true positive predictive values for noninvasive testing and to search for potential biological or technical causes. Our data suggest the need for a careful interpretation of noninvasive prenatal testing results and cautious transmission of the same to providers and patients.

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Key Words: false positive; noninvasive prenatal testing; positive predictive value; sensitivity; specificity

The evaluation of circulating cell-free DNA by massively parallel shotgun or targeted sequencing to determine the risk of fetal aneuploidy has been rapid and extensive. Recent published studies have demonstrated the incremental value of the use of cell-free DNA for noninvasive prenatal testing (NIPT).¹ Various methods and technologies have been used for NIPT, with impressive results. In one study, NIPT demonstrated 100% sensitivity for both trisomy 21 and trisomy 18, with a specificity of $\geq 99.7\%$ for both.¹ Data recently presented by two independent groups in 2013² and 2014³ prompted us to review the concordance of results among cases with positive or negative NIPT results referred to Quest Diagnostics for confirmation with cytogenetic studies.

MATERIALS AND METHODS

We evaluated the results from 109 consecutive specimens prenatally and/or postnatally studied by standard karyotyping, fluorescence in situ hybridization analysis (AneuVysion; Abbott Molecular/Vysis, Abbott Park, IL), and/or oligo–single-nucleotide polymorphism microarrays (CytoScanHD; Affymetrix, Santa Clara, CA) after NIPT. The NIPT providers were listed in 42 cases and included Panorama (Natera, San Carlos, CA; 20 cases), Harmony (Ariosa Diagnostics, San Jose, CA; 13 cases), MaterniT21 (Sequenom, San Diego, CA; 8 cases), and Verifi (Illumina, Redwood City, CA; 1 case). The most common

initial NIPT-positive result was trisomy 21 (41 cases), followed by trisomy 18 (25 cases), trisomy 13 (16 cases), sex chromosome aneuploidy (16 cases), trisomy 16 (3 cases), monosomy 21 (2 cases), and 1 case each of triploidy and microdeletion of 22q11.2. Four samples negative for NIPT but positive for ultrasound findings were included.

RESULTS

Cytogenetic results were positive for trisomy 21 in 38 of the 41 NIPT-positive cases (true-positive rate: 93%) and for trisomy 18 in 16 of the 25 NIPT-positive cases (true-positive rate: 64%) (Table 1). The true-positive rate was only 44% (7/16 cases) for trisomy 13 and 38% (6/16 cases) for sex chromosome aneuploidy. A total of six cases with positive NIPT results for either monosomy 21, trisomy 16, triploidy, or 22q11.2 microdeletion had normal cytogenetic findings. Only one case had very-low-level mosaicism (~5–10%) for trisomy 16. Confined placental mosaicism was confirmed in two cases (2/105, 2%): one with 3% mosaic for trisomy 18 and another with a mosaic segmental uniparental disomy for 11p15.5-p11.2. A false-negative result for NIPT was identified in nonmosaic trisomies 9 and 21, a marker chromosome, and a mosaic sex chromosome aneuploidy.

The findings from our laboratory and those presented by the above-mentioned two groups ($n = 80$ and $n = 46$) show that

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Table 1 Concordant and discordant NIPT and cytogenetic results in a cohort of cases referred for cytogenetic studies (*N* = 109)

NIPT result	Specimen type	Number of cases	Concordant	Discordant	Specimen type of discordant cases	Cytogenetic results of discordant cases
Positive			True positive	False positive		
Trisomy 21	25 AF, 14 CVS, 1 FPB, 1 cord	41	38/41 (93%)	3/41 (7%)	2 AF, 1 FPB	Three normal
Trisomy 18	19 AF, 2 CVS, 2 FPB, 2 cord/POC	25	16/25 (64%)	9/25 (36%)	6 AF, 1 CVS, 1 FPB, 1 cord/POC	Eight normal, one balanced translocation
Trisomy 13	15 AF, 1 cord	16	7/16 (44%)	9/16 (56%)	8 AF, 1 cord	Nine normal
Sex chromosome aneuploidy	12 AF, 1 CVS, 2 FPB, 1 POC	16	6/16 (38%)	10/16 (62%)	7 AF, 1 CVS, 2 FPB	Nine normal, one with gain of 724 kb from 20p12.1
Trisomy 16	3 AF	3	1/3 (33%)	2/3 (67%)	2 AF	Two normal
Monosomy 21	2 AF	2	0	2/2 (100%)	2 AF	Two normal
Triploidy	AF	1	0	1/1 (100%)	1 AF	One normal
22q11.2 Microdeletion	AF	1	0	1/1 (100%)	1 AF	One normal
Negative			True negative	False negative		
	4 AF	4	0	4	4 AF	One trisomy 9, one trisomy 21, one marker chromosome, one 45,X/46,XY
Total	82 AF, 17 CVS, 5 FPB, 5 blood/POC	109	68/109 (62%)	41/109 (38%)	33 AF, 2 CVS, 4 FPB, 2 cord/POC	36 Normal, one trisomy 9, one trisomy 21, one autosomal balanced translocation, one marker chromosome, and one mosaic sex chromosome aneuploidy

AF, amniotic fluid; CVS, chorionic villus sampling; FPB, fetal peripheral blood; NIPT, noninvasive prenatal testing; POC, product of conception.

Table 2 True-positive and false-positive rates in the NIPT-positive cases (*N* = 224)

NIPT result	Study by Choy <i>et al.</i> ²	Study by Meck <i>et al.</i> ³	Current study	Overall
Positive cases	80	46	98	224
True positive for trisomy 21	52/55	29/30	38/41	119/126 (94.4%)
False positive for trisomy 21	3/55	1/30	3/41	7/126 (5.6%)
True positive for trisomy 18	6/12	3/5	16/25	25/42 (59.5%)
False positive for trisomy 18	6/12	2/5	9/25	17/42 (40.5%)
True positive for trisomy 13	4/7	1/4	7/16	12/27 (44.4%)
False positive for trisomy 13	3/7	3/4	9/16	15/27 (55.6%)
True positive for SCA	4/6	1/7	6/16	11/29 (37.9%)
False positive for SCA	2/6	6/7	10/16	18/29 (62.1%)

NIPT, noninvasive prenatal testing; SCA, sex chromosome aneuploidy.

the positive predictive value (PPV) for the three data sources is highest for cases positive for trisomy 21 by NIPT (119/126, 94.4%; **Table 2**). A significantly lower PPV ($P < 0.001$, χ^2 analysis) is displayed for trisomy 18 (25/42, 59.5%), trisomy 13 (12/27, 44.4%), and sex chromosome aneuploidy (11/29, 37.9%). This finding invigorates further thoughts regarding the specificity and PPV of NIPT analyses.

DISCUSSION

The PPV for any test is proportional to the specificity of the assay and the prevalence of the disorder. It is very important to perceive that PPV is not intrinsic to the test; PPV also depends on the prevalence of the condition in the tested population, which was discussed in a recent review of NIPT.⁴ Thus, the PPV of NIPT is determined not only by the sensitivity and specificity of the assay, but also the prevalence of the tested abnormalities at the

gestational age when NIPT is offered (**Supplementary Table S1** online).⁵ For instance, the prevalence of trisomies 21, 18, and 13 in a 35-year-old woman with a fetus at 10 weeks' gestational age is 1:185, 1:470, and 1:1,500, respectively.⁵ Assuming a sensitivity and specificity of 99.9% for each abnormality, the PPV would be 84, 68, and 40%, respectively (**Supplementary Table S1** online). **The observed PPV in this report was 94.4% for trisomy 21, 59.5% for trisomy 18, and 44.4% for trisomy 13. This finding could be due to a higher prevalence of Down syndrome, a higher specificity of NIPT for trisomy 21 than other aneuploidies, or both.**

These findings suggest the need for a careful interpretation of NIPT results and cautious transmission of the same to providers and patients. It is vital to educate ordering physicians regarding the differences between specificity and PPV. To an average clinician, the claim that a test is >99% specific leads him or her to expect that the false-positive rate will be <1%. As can be

seen by the data in this report and others, the ability of NIPT to correctly predict a positive result for trisomy 18 and trisomy 13 is less than 60% (Table 2). For this reason, it is crucial that providers and consumers understand that NIPT is fundamentally a screening test and cannot be used as a replacement for invasive prenatal diagnosis. For a couple with an NIPT positive for trisomy 18, it is foremost to counsel them that the likelihood their fetus actually has a trisomy 18 is <60%. A normal ultrasound result would reduce that likelihood even further. This is very different from being told that there is a 99% chance the fetus is affected and might lead to a different decision regarding an invasive prenatal diagnosis before any action is taken. Clinicians must be educated regarding the differences between published specificities and the more important statistics of PPV.

It is important to note that our data did not differentiate among the various laboratories performing NIPT. There are four main laboratories performing clinical NIPT in the United States, and each uses different methodologies or algorithms. There may be individual differences in specificities that would lead to differing PPV among the various laboratories performing NIPT. A more extensive data set would be required before those calculations could be performed.

In conclusion, use of conventional cytogenetics as the reference standard unravels a rather significant discordance with positive NIPT results. These data should compel us to take a

cautious look at NIPT data sets and their sources. As more commercial providers advocate this test, or sponsor academic centers to carry them out, a more diligent comparison of NIPT results with cytogenetic tests should be undertaken.

SUPPLEMENTARY MATERIAL

Supplementary material is linked to the online version of the paper at <http://www.nature.com/gim>

DISCLOSURE

The authors declare no conflict of interest.

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